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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/036,026	12/21/2001	Joseph J. Florio	99P1043US01	2420

7590 01/26/2004  
PACESETTER, INC.  
15900 Valley View Court  
Sylmar, CA 91392-9221

EXAMINER

OROPEZA, FRANCES P

ART UNIT	PAPER NUMBER
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3762

DATE MAILED: 01/26/2004

Please find below and/or attached an Office communication concerning this application or proceeding.

## Office Action Summary

Application No.

10/036,026

Applicant(s)

FLORIO ET AL.

Examiner

Frances P. Oropesa

Art Unit

3762

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).
- Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

### Status

- 1) ☒ Responsive to communication(s) filed on 05 December 2003.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

### Disposition of Claims

- 4) ☒ Claim(s) 1,3,5-18,20,22-30,32,34,36 and 39 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1,3,5-18,20,22-30,2,34,36 and 39 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

### Priority under 35 U.S.C. §§ 119 and 120

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).  
a) ☐ All b) ☐ Some \* c) ☐ None of:  
1. ☐ Certified copies of the priority documents have been received.  
2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.  
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).  
\* See the attached detailed Office action for a list of the certified copies not received.
- 13) ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e) (to a provisional application) since a specific reference was included in the first sentence of the specification or in an Application Data Sheet. 37 CFR 1.78.  
a) ☐ The translation of the foreign language provisional application has been received.
- 14) ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121 since a specific reference was included in the first sentence of the specification or in an Application Data Sheet. 37 CFR 1.78.

### Attachment(s)

- 1) ☐ Notice of References Cited (PTO-892) 4) ☐ Interview Summary (PTO-413) Paper No(s). \_\_\_\_\_
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948) 5) ☐ Notice of Informal Patent Application (PTO-152)
- 3) ☐ Information Disclosure Statement(s) (PTO-1449) Paper No(s) \_\_\_\_\_ 6) ☐ Other: \_\_\_\_\_

**DETAILED ACTION**

***Response to Amendment***

1. The Applicant's arguments filed 12/5/03 have been fully considered. The arguments relative to Lu et al. are convincing and it is recognized the teachings on the triggering of pacing changes need to be clarified, hence the rejections of record are withdrawn and a new grounds of rejection established in the subsequent paragraphs.

***Claim Rejections - 35 USC § 102***

2. Claims 1, 3, 5-12, 15-18, 20, 22-30, 32, 34, 36 and 39 are rejected under 35 U.S.C. 102(e) as being anticipated by Begemann et al. (US 5978709).

Begemann et al. disclose a pacemaker system with improved techniques for preventing and suppression atrial arrhythmias.

As to claims 1, 3, 17, 18, 20, 29, 30, 32 and 36, the instant device/ method of pacing is disclosed by the special pacing treatment feature, the AFP feature of Pace Conditioning, wherein intrinsic beat(s) (one or more, read as two) are sensed whereupon the higher conditioning pacing repeats (figure 1; col. 2 @ 49 – col. 3 @ 2; col. 8 @ 50-54). The trigger to higher conditioning pacing can be a single intrinsic beat (col. 8 @ 51), or for example 2-3 consecutive intrinsic beats (col. 8 @ 53) or any predetermined program of rate adjustment (col. 8 @ 57).

As to claims 1, 17, 18, 30 and 36 and increasing the pacing if at least two intrinsic beats are detected within the period of about 8 cycles and about 40 cycles, one or more intrinsic beats are used to trigger an increased pacing rate (col. 2 @ 63 – col. 3 @ 2).

The example of the pattern of atrial senses used to trigger the step increase in pacing is

Art Unit: 3762

2-3 consecutive beats (col. 8 @ 53), however an atrial sense pattern can be used to trigger the pacing increase (col. 8 @ 52-53). The pattern of 2-3 consecutive beats is recognized as an example. Begemann et al. teach a change in pacing rate using three normal atrial senses (col. 9 @ 7-9) within a predetermined period, the period ranging 2-50 beats (col. 9 @ 11). Given Begemann et al. teach using more than one intrinsic beat to trigger increased pacing, teach the use of an atrial sense pattern to trigger increased pacing, and teach a known trigger for changing the pacing rate is three normal atrial senses detected within 2-50 beats, Begemann et al. is read to teach increasing pacing if at least two intrinsic heart beats are detected within the second predefined period of about 8 cycles and about 40 cycles.

As to claims 5, 22 and 34, when the lower rate limit is reached a pace is provided and the conditioning pacing repeats (col. 6 @ 27-30).

As to claims 6, 10, 23, 27 and 39, the predetermined period is 10 cycles when the increase pace rate is 20 ppm and the increase is limited to 2 ppm/ cycle (col. 8 @ 20-32).

As to claim 7, 8, 24, and 25, the special pacing treatment feature, the PAC suppression routine, decreases the overdrive pacing rate according to programmed criteria, this criteria reflecting any predetermined program of rate adjustment (col. 3 @ 3-14; col. 6 @ 32-65; col. 8 @ 56-60).

As to claims 7, 9, 24 and 26 and the decrementing of pacing if two intrinsic heart beats are not detected within the second predefined period of about 8 cycles and about 40 cycles, the higher rate pacing is terminated (col. 9 @ 7) / decreased (col. 9 @ 15) in response to the sensing five consecutive beats or three normal atrial senses (col. 9 @ 7-9) within a predetermined period, the examples of the period range includes 2-50 beats

Art Unit: 3762

(col. 9 @ 11), hence the pacing is decremented if two intrinsic heart beats are not detected within the second predefined period of about 8 cycles and about 40 cycles.

As to claims 11 and 28, the rate is decreased 1-3 ppm per 24-40 beats, read as about 1 to about 5 paces per minute (col. 9 @ 15-17).

As to claims 12 and 15, the underlying intrinsic rate is the atrial rate or the sinus rate (col. 5 @ 3-5).

As to claim 16, the selected pacing rate is reset to be the updated atrial rate (col. 7 @ 24-50).

As to claims 35 and 40, the predefined period is a predefined period of time (col. 9 @ 11-14).

### ***Claim Rejections - 35 USC § 103***

3. Claims 13 and 14 are rejected under 35 U.S.C. 103(a) as being unpatentable over Begemann et al. (US 5978709) in view of Elmvist (US 5253644). As discussed in paragraph 2 of this action, Begemann et al. disclose the claimed invention of determining the pacing rate by suspending the pacing (figure 1 – 31, 32; col. 4 @ 50- col 5 @ 13) except for determining the sinus rate based on three consecutive sinus P-waves.

Elmvist teaches rhythm detection using at least two consecutive P-waves, read as three consecutive P-waves, for the purpose of accurately determining cardiac rhythm. It would have been obvious to one having ordinary skill in the art at the time of the invention to have used three consecutive P-waves to calculate the sinus rate in the Begemann et al. system in order to use a proven method of rhythm determination and

Art Unit: 3762

avoid inaccurate evaluation of the cardiac rhythm resulting in delayed treatment of the arrhythmia (abstract; col. 2 @ 26-29).

***Statutory Basis***

4. The text of those sections of Title 35, U.S. Code not included in this action can be found in a prior Office action.

***Conclusion***

Any inquiry concerning this communication or earlier communications from the Examiner should be directed to Fran Oropeza, telephone number is (703) 605-4355. The Examiner can normally be reached on Monday – Thursday from 6 a.m. to 4:30 p.m.

If attempts to reach the Examiner by telephone are unsuccessful, the Examiner's Supervisor, Angela D. Sykes can be reached on (703) 308-5181. The fax phone number for the organization where this application or proceeding is assigned is (703) 306-4520 for regular communication and for After Final communications.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the Receptionist, telephone number is (703) 308-0858.

Frances P. Oropeza  
Patent Examiner  
Art Unit 3762

*FPO*  
*1/22/04*

*Angela D. Sykes*

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